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PTO/SB/21 (08-03)

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|---|----------------------|------------------------|--------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | Application Number   | 10/816,736             |              |
|   | Filing Date          | April 1, 2004          |              |
|   | First Named Inventor | Tsukasa INOBUCHI       |              |
|   | Art Unit             | Not Yet Assigned       |              |
|   | Examiner Name        | Not Yet Assigned       |              |
| Total Number of Pages in This Submission  | 102 + 10 Refs.       | Attorney Docket Number | 247322002100 |

| ENCLOSURES (Check all that apply)   |  |  |
|---|--|--|
| <input type="checkbox"/> Fee Transmittal Form<br><br><input type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment/Reply<br><br><input type="checkbox"/> After Final<br><br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input checked="" type="checkbox"/> Information Disclosure Statement (3 pages)<br><br><input checked="" type="checkbox"/> Certified Copy of Priority Document(s), two (96 pages total)<br><br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>1. Form PTO-1449 (1 page with copy)<br>2. Ten (10) Cited References<br>3. Return Receipt Postcard |
| <div>Remarks</div>  |  |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |
|--|--|
| Firm or Individual name                    | MORRISON & FOERSTER LLP (Customer No. 25226)<br>Alan S. Hodes - 38,185 |
| Signature                                  |  |
| Date                                       | June 18, 2004  |

|  |                                  |
|--|----------------------------------|
| I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below. |                                  |
| Dated: June 18, 2004   | Signature:  (Carolyn G. McKubre) |



PATENT  
Docket No. 247322002100  
Client Reference No. 031249/US

**CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on June 18, 2004

Carolyn McKubre

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Tsukasa INOUCHI

Serial No.: 10/816,736

Filing Date: April 1, 2004

For: LIGHT-EMITTING APPARATUS  
PACKAGE, LIGHT-EMITTING  
APPARATUS, BACKLIGHT  
APPARATUS, AND DISPLAY  
APPARATUS

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97(e)(1) has been provided.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.  
However, if applicable, a certification under 37 C.F.R. § 1.97(e)(1) has been provided.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of \_\_\_ is enclosed.
  - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of \_\_\_ is enclosed.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. §1.17(p)) is required, Applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 247322002100. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

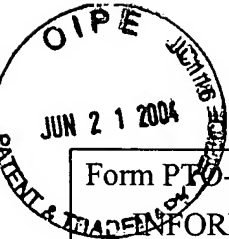
Dated: June 18, 2004

Respectfully submitted,

By: 

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Form PTO-1449

Docket Number 247322002100

Application Number 10/816,736

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Applicant

Tsukasa INOUCHI

Filing Date April 1, 2004

Group Art Unit Not Yet Assigned

Mailing Date June 18, 2004

## U.S. PATENT DOCUMENTS

| Examiner<br>Initials | Ref.<br>No. | Date | Document No. | Name | Class | Subclass | Filing Date If<br>Appropriate |
|----------------------|-------------|------|--------------|------|-------|----------|-------------------------------|
|                      |             |      |              |      |       |          |                               |

## FOREIGN PATENT DOCUMENTS

| Examiner<br>Initials | Ref.<br>No. | Date       | Document No.   | Country | Class | Subclass | Translation<br>YES NO |  |
|----------------------|-------------|------------|----------------|---------|-------|----------|-----------------------|--|
|                      | 1.          | 09/10/1992 | JP 4-105562    | Japan   |       |          | Partial               |  |
|                      | 2.          | 02/05/1993 | JP 5-8959      | Japan   |       |          | Partial               |  |
|                      | 3.          | 03/18/1994 | JP 06-077540   | Japan   |       |          | Abstract              |  |
|                      | 4.          | 08/19/1994 | JP 06-230731   | Japan   |       |          | Abstract              |  |
|                      | 5.          | 02/07/1995 | JP 07-038154   | Japan   |       |          | Abstract              |  |
|                      | 6.          | 04/08/1997 | JP 09-096811   | Japan   |       |          | Abstract              |  |
|                      | 7.          | 06/12/2001 | JP 2001-160312 | Japan   |       |          | Abstract              |  |
|                      | 8.          | 10/12/2001 | JP 2001-284659 | Japan   |       |          | Abstract              |  |
|                      | 9.          | 08/30/2002 | JP 2002-246650 | Japan   |       |          | Abstract              |  |
|                      | 10.         | 10/25/2002 | JP 2002-314149 | Japan   |       |          | Abstract              |  |

## OTHER DOCUMENTS (including author, title, Date, Pertinent Pages, Etc.)

| Examiner<br>Initials | Ref.<br>No. | Title |
|----------------------|-------------|-------|
|                      |             |       |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.